

# 7<sup>th</sup> ANNUAL Closed-End Fund and Global ETF CAPITAL LINK FORUM



Wednesday, April 16, 2008 – Metropolitan Club – New York City

## PROGRAM

7:15AM–8:00AM	BREAKFAST & REGISTRATION
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### MORNING SESSION (West Lounge – 1<sup>st</sup> Floor)

8:00AM	Welcome Remarks	Nicolas Bornozis, President, Capital Link, Inc.
8:00AM– 8:10AM	INAUGURAL ADDRESS	<p>Mr. Mario J. Gabelli, Chairman, CEO &amp; CIO, <a href="#">Gamco Investors, Inc.</a></p> <p>CLOSED-END FUNDS</p>
8:10AM- 8:30AM	<p>CLOSED-END FUNDS <i>Introduced by:</i> Ms. Sangeeta Marfatia, Closed-End Fund Analyst, <a href="#">UBS Wealth Management Research</a></p>	<p>CLOSED-END FUNDS IN 2008: AN OPPORTUNITY OR RISK?</p> <ul style="list-style-type: none"> <li>Mr. Doug Bond, EVP &amp; Portfolio Manager, Closed-End Opportunity Fund, <a href="#">Cohen &amp; Steers</a></li> </ul>
8:30AM– 9:10AM	<p>INDUSTRY ROUNDTABLES CLOSED-END FUNDS <i>Moderated by:</i> Ms. Mariana Bush, Closed-End Funds &amp; Exchange-Traded Funds Analyst, <a href="#">Wachovia Securities</a></p>	<p>THE CATAclySM OF LEVERAGE IN CLOSED-END FUNDS</p> <ul style="list-style-type: none"> <li>Mr. Steven A. Baffico, Director of Strategic Initiatives, <a href="#">BlackRock Investments</a></li> <li>Mr. Kenneth Fincher, Vice President, Director of Product Management &amp; Client Advocacy, <a href="#">Calamos Investments</a></li> <li>Mr. Jonathan Isaac, Vice President, Closed-End Funds, <a href="#">Eaton Vance Managed Investments</a></li> <li>Mr. Jon Maier, Investment Strategy Group – Closed-End Funds <a href="#">Merrill Lynch</a></li> <li>Mr. Paul Williams, Managing Director, New Product Development, <a href="#">Nuveen Investments</a></li> </ul>
9:10AM– 9:50AM	<p>INDUSTRY ROUNDTABLES CLOSED-END FUNDS <i>Moderated by:</i> Mr. Kenneth Fincher, Vice President, Director of Product Management &amp; Client Advocacy, <a href="#">Calamos Investments</a></p>	<p>CURRENT TRENDS, OPPORTUNITIES &amp; OUTLOOK</p> <ul style="list-style-type: none"> <li>Mr. Doug Bond, EVP &amp; Portfolio Manager, Closed-End Opportunity Fund, <a href="#">Cohen &amp; Steers</a></li> <li>Ms. Karen R. McCoil, CFA, Vice President, Investment Product Management, <a href="#">Evergreen Investments</a></li> <li>Mr. Jeffrey Margolin, Vice President and Closed-End Fund Analyst, <a href="#">First Trust Advisors</a></li> <li>Mr. David Lamb, Managing Director, Head of Modeling &amp; Analysis Group, <a href="#">Nuveen Investments</a></li> </ul>



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<p>9:50AM–10:30AM</p>	<p><b>FIXED INCOME INVESTING</b></p> <p><i>Introduced by:</i> Mrs. Amy Charles, Senior Vice President, Director - Closed-End Fund Research, <a href="#">Raymond James</a></p>	<p><b>INVESTING IN FIXED INCOME THROUGH ETFs</b></p> <ul style="list-style-type: none"> <li>Mr. Brendan Ahern, Business Development Officer, <a href="#">Barclays Global Investors</a></li> </ul> <p><b>CATASTROPHE BONDS: A NEW AND UNIQUE ASSET CLASS–Pioneer Diversified High Income Trust(HNW)</b></p> <ul style="list-style-type: none"> <li>Mr. Michael Temple, Vice President, Director of Fixed Income Credit Research, <a href="#">Pioneer Investments</a></li> </ul>
<p>10:30AM–11:30AM</p>	<p><b>EQUITY &amp; TOTAL RETURN INVESTING</b></p> <p><i>Introduced by:</i> Mr. Kristoph Rollenhagen Sr.V.P.- Research, Closed-End Funds and ETFs, <a href="#">Oppenheimer &amp; Co</a></p>	<p><b>INVESTMENT OPPORTUNITIES IN SENIOR LOANS</b></p> <ul style="list-style-type: none"> <li>Mr. Dan Norman, Senior Vice President &amp; Group Head of the Senior Debt Group, <a href="#">ING Investment Management</a></li> </ul> <p><b>EVERGREEN UTILITIES &amp; HIGH INCOME FUND</b></p> <ul style="list-style-type: none"> <li>Mr. Timothy O'Brien, Portfolio Manager, <a href="#">Evergreen Investments</a></li> </ul> <p><b>INVESTMENT OPPORTUNITIES IN THE ENERGY INFRASTRUCTURE SECTOR</b></p> <ul style="list-style-type: none"> <li>Mr. David Schulte, Managing Director, <a href="#">Tortoise Capital Advisors</a></li> </ul>
<p>11:30AM–12:30PM</p>	<p><b>THE EVOLUTION OF INDEXING AND ETFs</b></p> <p><i>Introduced by:</i> Mr. Alex Reiss, Analyst, V.P., Closed – End Fund &amp; ETF Research, <a href="#">Stifel Nicolaus</a></p>	<p><b>ACCESS TO EMERGING MARKETS - INVESTING WITH INNOVATIVE INDEX CONCEPTS</b></p> <ul style="list-style-type: none"> <li>Dr. Hartmut Graf, Head of Issuer Data &amp; Analytics, <a href="#">Deutsche Börse AG</a></li> </ul> <p><b>THE EVOLUTION OF INDEXING AND ETFs</b></p> <ul style="list-style-type: none"> <li>Mr. H. Bruce Bond, President &amp; CEO, <a href="#">Invesco PowerShares Capital Management</a></li> </ul> <p><b>A COMMON SENSE APPROACH TO INVESTING IN EMERGING MARKETS</b></p> <ul style="list-style-type: none"> <li>Mr. Luciano Siracusano, Director of Research, <a href="#">WisdomTree Investments</a></li> </ul>
<p>12:30PM– 1:00PM</p>	<p><b>KEYNOTE SPEAKER</b></p> <p><b>BLACKROCK</b></p>	<p><b>Mr. Robert S. Kapito,</b> President and Director <b>BLACKROCK INVESTMENTS</b> “The 21<sup>st</sup> Century Asset Management Business: Challenges, Opportunities and Beyond”</p> <p>Introduction by: Mr. Raymond L. Bell, Vice President, Global Corporate Client Group, <a href="#">NYSE Euronext</a></p>

**LUNCH BREAK** (Main Dinning Room – 3<sup>rd</sup> Floor)

<p>1:15PM-2:15PM</p>	<p><b>CEF, ETF &amp; ANALYST Awards Ceremony</b></p>
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## AFTERNOON SESSION (West Lounge – 1<sup>st</sup> Floor)

2:30PM- 2:50PM	RISK MITIGATION STRATEGIES	CAN PUT-WRITE & BUY-WRITE INDEXES GENERATE HIGHER INCOME & RISK-ADJUSTED RETURNS? <ul style="list-style-type: none"> <li>Mr. Matthew Moran, Vice President, <a href="#">Chicago Board Options Exchange (CBOE)</a></li> </ul>
2:50PM- 3:10PM	EXCHANGE TRADED NOTES (ETNs)	BUILDING INVESTMENT PORTFOLIOS WITH ELEMENTS EXCHANGE-TRADED NOTES (ETNs) <ul style="list-style-type: none"> <li>Mr. Satch Chada, Managing Director, Structured &amp; Alternative Solutions, <a href="#">Merrill Lynch</a></li> <li>Mr. Michael Forstl, Managing Director, Structured products Consulting Group, <a href="#">Nuveen Investments</a></li> </ul>
3:10PM– 3:50PM	INDUSTRY ROUNDTABLES ETFs  <i>Moderated by:</i> Mr. Paul Mazzilli, Director ETF Research, <a href="#">Morgan Stanley</a>	FUNDAMENTAL VERSUS TRADITIONAL INDEXING <ul style="list-style-type: none"> <li>Mr. Dodd F. Kittsley, CFA, Strategist, <a href="#">Barclays Global Investors</a></li> <li>Mr. Edward McRedmond, SVP - Portfolio Strategies, <a href="#">Invesco PowerShares Capital Management</a></li> <li>Mr. Luciano Siracusano, Director of Research, <a href="#">WisdomTree Investments</a></li> <li>Mr. Tom Anderson, CFA, Vice President and Head of Strategy and Research, <a href="#">State Street Global Advisors</a></li> <li>Mr. Paul Drakeley, Account Sales Executive, <a href="#">Vanguard Financial Advisor Services (VFAS)</a></li> </ul>
3:50PM– 4:30PM	INDUSTRY ROUNDTABLES ETFs  <i>Moderated by:</i> Mr. Joe Witthohn, Director of ETF Research, <a href="#">Janney Montgomery Scott</a>	CURRENT TRENDS, OPPORTUNITIES & OUTLOOK <ul style="list-style-type: none"> <li>Dr. Hartmut Graf, Head of Issuer Data &amp; Analytics, <a href="#">Deutsche Börse AG</a></li> <li>Mr. Jeffrey Margolin, Vice President, <a href="#">First Trust Advisors</a></li> <li>Mr. Ben Fulton, Executive VP, Global Product Development, <a href="#">Invesco PowerShares</a></li> <li>Ms. Jill Iacono, Vice President and Director of National Accounts, <a href="#">State Street Global Advisors</a></li> <li>Mr. Paul Drakeley, Account Sales Executive, <a href="#">Vanguard Financial Advisor Services (VFAS)</a></li> </ul>
4:30PM– 5:15PM	INDUSTRY ROUNDTABLES INVESTING THROUGH CEFs, ETFs and ETNs  <i>Moderated by:</i> Mr. Dennis Emanuel, Managing Director, CEF & ETF Research, <a href="#">Citigroup Investment Research</a>	USING ETFs, CEFs AND ETNs IN INVESTMENT PORTFOLIOS <ul style="list-style-type: none"> <li>Mr. Brian D'Anna, Senior CEF Specialist, <a href="#">BlackRock</a></li> <li>Mr. Dodd F. Kittsley, CFA, Strategist, <a href="#">Barclays Global Investors</a></li> <li>Mr. Michael Jones, Chairman &amp; CEO, <a href="#">Riverfront Investment Group</a></li> <li>Mr. Michael Forstl, Managing Director, Structured Products Consulting Group, <a href="#">Nuveen Investments</a></li> <li>Mr. Jamie Block, Wealth Advisor, <a href="#">Morgan Stanley</a></li> <li>Ms. Deborah Fuhr, Managing Director, <a href="#">Morgan Stanley Consolidated Equities</a></li> </ul>
5:15PM– 6:30PM	<b>COCKTAIL RECEPTION AT THE METROPOLITAN CLUB</b>	



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